



*TRD*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

ENDRES ET AL.

Serial No.: 10/782,316

Filed: February 19, 2004

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}  
} Art Unit: 2681  
}

} Examiner: Not Yet Known  
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For: JOINT, ADAPTIVE CONTROL OF EQUILIZATION, SYNCHRONIZATION,  
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Tasha Barnes  
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INFORMATION DISCLOSURE STATEMENT

Sir:

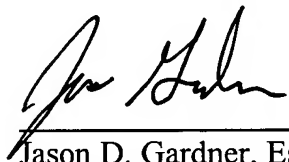
In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), the patent publications listed on the attached PTO/SB/08A are submitted for consideration by the Examiner. Copies of all non-US patent references and/or non-patent literature documents are enclosed.

Submission of the references provided in this Information Disclosure is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the filing

of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

This Information Disclosure Statement is being filed before the issuance of a first office action on the merits of the application (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be due. If a fee is due, the Commissioner is authorized to charge such fee and any additional fees that may be due or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,



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Attorney Docket No.: S0465/283640



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 8

**Complete if Known**

Application Number	10/782,316
Filing Date	February 19, 2004
First Named Inventor	Endres
Art Unit	2681
Examiner Name	Not Yet Assigned
Attorney Docket Number	S0465/283640

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- RE35,774	04-21-1998	Moura et al.	
		US- 2,138,330	11-29-1938	Thompson	
		US- 2,977,551	03-28-1961	Gibson et al.	
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Examiner  
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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			Application Number	10/782,316	
			Filing Date	February 19, 2004	
			First Named Inventor	Endres	
			Art Unit	2681	
			Examiner Name	Not Yet Assigned	
Sheet	2	of	8	Attorney Docket Number	S0465/283640

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 4,821,097	08-11-1989	Robbins	
		US- 4,870,489	09-26-1989	Ducet	
		US- 4,879,606	11-07-1989	Walter et al.	
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		US- 5,291,289	03-01-1994	Hulyalkar et al.	
		US- 5,293,633	03-08-1994	Robbins	

Examiner Signature		Date Considered	
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Sheet

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of

8

**Complete if Known**

Application Number

10/782,316

Filing Date

February 19, 2004

First Named Inventor

Endres

Art Unit

2681

Examiner Name

Not Yet Assigned

Attorney Docket Number

S0465/283640

**U.S. PATENT DOCUMENTS**

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		Number - Kind Code <sup>2</sup> (if known)			
		US- 5,321,514	06-14-1994	Martinez	
		US- 5,327,460	07-05-1994	Batrani	
		US- 5,357,284	10-18-1994	Todd	
		US- 5,386,239	01-31-1995	Wang et al.	
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		Number - Kind Code <sup>2</sup> (if known)			
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Examiner Signature		Date Considered	
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Sheet

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		US- 6,310,548	10-30-2001	Stephens, Jr. et al.	
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Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 00/72446	11-30-2000	Duncan et al.		
		WO 02/07425	01-24-2002	Womell et al.		
		WO 02/84965	10-24-2002	Birru, Dagnachew		
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		WO 99/55087	10-28-1999	Encamera Sciences		

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/782,316
Filing Date	February 19, 2004
First Named Inventor	Endres
Art Unit	2681
Examiner Name	Not Yet Assigned
Attorney Docket Number	S0465/283640

Sheet 7 of 8

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Press Release of WavePhore, Inc. entitled "WavePhore and the Canadian Broadcasting Corporation Enter an Agreement to Deliver Data Broadcasting Nationwide in Canada," dated March 29, 1995	
		Advertisement in <u>Investor's Business Daily</u> , "Technology Offers TV Broadcasters An Avenue Into Interactive Services", Monday, April 19, 1993	
		News Release of WavePhore, entitled "WavePhore and Belcom Sign Agreement to Co-Develop Russian Data Broadcasting System" (two pages, believed to have been released April 10, 1995)	
		Press Release of WavePhore entitled "FCC Proposed to Allow Digital Data Transmission Within the Video Portion of TV Broadcasts, in Response to WavePhore's Request, (two pages, believed to have been released April 25, 1995)	
		Advertisement in <u>The Indianapolis News</u> , WavePhore Stock Is On Roll", dated May 23, 1995	
		Advertisement in <u>Investor's Business Daily</u> , "Intel, WavePhore Set Technology Alliance, dated Monday, May 8, 1995	
		Press Release, entitled "Intel and WavePhore Sign Technology And Investment Agreement, (two pages, believed to have been released May 5, 1995)	
		Advertisement, <u>The Arizona Republic Business</u> , "Tempe firm, Intel Team Up to Send Data via TV", dated Saturday, May 6, 1995	
		Advertisement, <u>Arizona Business Gazette</u> , entitled "Skyway System Rides TV Signals to Distribute Data -- Speed Key to WavePhore Technology", dated July 13, 1995	
		Advertisement, <u>Arizona Business Gazette</u> , entitled "Motivated CEO Leads WavePhore", dated July 13, 1995	
		Press Release of WavePhore, entitled "WavePhore Develops Data Casting System for Television Azteca In Mexico (two pages, believed to have been released August 8, 1995)	
		Press Release of WavePhore, entitled "WavePhore and Arizona State University Launch Distance Learning Service, (two pages, believed to have been released August 17, 1995)	

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		"Digital Data Transmission Within the Video Portion of Television Broadcast Station Transmissions", MM docket No. 95-42.	
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